

Governance and Audit Committee

Meeting Venue

By Zoom

Meeting Date

Friday, 28 July 2023

Meeting Time

10.00 am

For further information please contact

Connor Farmer

Democratic and Scrutiny Support Officer

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County Hall
Llandrindod Wells
Powys
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21/07/2023

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.
Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod
gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.

Please inform us of which language you wish to use by noon, two working days
before the meeting.

AGENDA

1.	APOLOGIES	
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To receive apologies for absence.

2.	DISCLOSURES OF INTEREST	
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

3.	MINUTES AND ACTION LOG	10.05 AM
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(i) To authorise the Chair to sign the minutes of the previous meeting held as follows as a correct record:

(ii) To receive and consider the Action Log.

(To Follow)

Audit Wales Items

4.	AUDIT WALES - AUDIT PLAN	10.20 AM
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To receive and consider the Audit Wales Audit Plan.
(To Follow)

5.	AUDIT WALES - WORK PROGRAMME AND TIMETABLE - 31 MARCH 2023	10.40 AM
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To receive and consider the Audit Wales Work Programme and Timetable – 31 March 2023.
(Pages 5 - 42)

6.	AUDIT WALES - WORK PROGRAMME AND TIMETABLE - 30 JUNE 2023	11.00 AM
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To receive and consider the Audit Wales Work Programme and Timetable – 30 June 2023.
(Pages 43 - 62)

SWAP Items		
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7.	PCC - TECHNOLOGY ASSURANCE FRAMEWORK REVIEW	11.20 AM
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To receive the PCC – Technology Assurance Framework Review Report from the Assistant Director of SWAP.
(Pages 63 - 86)

8.	SWAP - INTERNAL AUDIT PLAN 2023-24	11.40 AM
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To receive the SWAP Internal Audit Plan 2023-24 from the Assistant Director of SWAP.
(Pages 87 - 108)

Corporate Items		
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9.	FRAUD REPORT	12.00 PM
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To receive a report on fraud from the Head of Finance.
(Pages 109 - 116)

10.	TREASURY MANAGEMENT - YEAR END REPORT	12.20 PM
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To receive and consider the report of the Cabinet Member for Finance and Corporate Transformation.
(Pages 117 - 134)

11.	ANNUAL SELF-ASSESSMENT REPORT AND ANNUAL GOVERNANCE STATEMENT	12.40 PM
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To receive and consider the report of the Leader and Cabinet Member for an Open and Transparent Powys.

(Pages 135 - 142)

11.1.	Annual Self-Assessment Report	
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(Pages 143 - 300)

11.2.	Annual Governance Statement	
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(Pages 301 - 366)

BREAK - 13:15 to 13:45		
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12.	SUSTAINABLE POWYS - UPDATE	1.45 PM
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To receive a verbal update from the Head of Finance.

13.	WORKING GROUPS	2.05 PM
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To receive feedback from the following Governance and Audit Committee Working Groups:

- Capital – 29.06.2023

(Pages 367 - 368)

14.	SELF-ASSESSMENT ACTION PLAN UPDATE	2.15 PM
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To receive an update on the Self-Assessment Action Plan from the Scrutiny Manager.

(Pages 369 - 388)

15.	WORK PROGRAMME	2.30 PM
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 389 - 394)

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.

Regulatory Tracker

Following the close of the meeting there will be a verbal update in relation to the Regulatory Tracker.

